

**INFORMATION QUESTIONNAIRE**QM 85 00 01, Rev 11  
Date 2021-07-22

<b>1. ANAGRAPHIC OF THE APPLICANT ORGANIZATION</b>			
Company name:			
Head Office		Address:	
		CAP:	City:
			Prov.:
Vat Number:	Codice Fiscale - Tax Code:	Turnover last year:	Unique Billing Code:
TELEPHONE:	WEB SITE:	E-MAIL:	
		PEC:	
ORGANIZATION REFERENCE PERSON	NAME AND SURNAME:	POSITION:	E-MAIL:
			TELEPHONE:
<b>2. I DATI PER LA CERTIFICAZIONE - DATA FOR CERTIFICATION</b>			
<input type="checkbox"/> New <input type="checkbox"/> Renewal <input type="checkbox"/> Extension <input type="checkbox"/> Transferred from another Accredited Entity (to specify which and motivation)			
<input type="checkbox"/> UNI ISO 37001 <input type="checkbox"/> ISO 37301			
Does the organisation want a prior audit? <input type="checkbox"/> YES <input type="checkbox"/> NO			
Period in which you want to perform the certification audit:		Company Consulting:	
<b>3. THE ACTIVITY TO BE CERTIFIED:</b>			
<b>4. PERSONNEL INVOLVED IN THE CERTIFICATION PROCESS</b>			
Based on the corruption risk assessment, indicate the total number of employees involved in activities and processes deemed sensitive by the organisation (e.g. Business process operatives such as: finance and control, sales, agents and sales network, procurement, institutional figures and corporate bodies, management and board offices, internal auditing, licensing, tenders and authorisations, human resources management administration and cash management, purchases, etc.)			
Addetti sui processi sensibili - Employees on sensitive processes		Addetti sui processi non sensibili - Employees in non-sensitive processes	
<b>5. DOES THE ORGANIZATION HAVE MULTIPLE SITES TO CERTIFY?</b>		Will sites be excluded?	
<input type="checkbox"/> SÍ <input type="checkbox"/> NO		<input type="checkbox"/> YES <input type="checkbox"/> NO	
The addresses of the sites to certify:		Number of employees:	Main Activities/Processes:
<b>6. INFORMATION FOR THE CERTIFICATION OF MANAGEMENT SYSTEMS FOR THE PREVENTION OF RISK OF CORRUPTION (ISO 37001)</b>			
Has the Organization been involved in judicial investigations regarding corruptive phenomena over the last 5 years?		<input type="checkbox"/> YES	<input type="checkbox"/> NO
Has the organisation been involved in at least one court case for corruption in the last year?		<input type="checkbox"/> YES	<input type="checkbox"/> NO
Does the organization receive public grants, funds or funding over 30% of the turnover?		<input type="checkbox"/> YES	<input type="checkbox"/> NO
Does the organization receive remuneration from public entities or institutions, including those deriving from the execution of public contracts, exceeding 30% of turnover?		<input type="checkbox"/> YES	<input type="checkbox"/> NO
Is the company listed on the stock exchange?		<input type="checkbox"/> YES	<input type="checkbox"/> NO
Is the organization a public administration?		<input type="checkbox"/> YES	<input type="checkbox"/> NO
Is the organisation an Economic Public Body?		<input type="checkbox"/> YES	<input type="checkbox"/> NO
Is the organisation a company in public control or participated by the public?		<input type="checkbox"/> YES	<input type="checkbox"/> NO
Is the organisation from the third sector and social cooperatives (e.g. voluntary organisations, cooperation bodies)?		<input type="checkbox"/> YES	<input type="checkbox"/> NO
Is the organisation a professional body or a national college?		<input type="checkbox"/> YES	<input type="checkbox"/> NO
Is the organization a trading, intermediates and commercial company that can not be classified as turnover as an SME?		<input type="checkbox"/> YES	<input type="checkbox"/> NO
<b>7. INFORMATION FOR THE CERTIFICATION OF THE CONFORMITY MANAGEMENT SYSTEM (ISO 37301)</b>			

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Has the organization been involved in judicial investigations regarding corruptive phenomena in the last five years?	<input type="checkbox"/> YES <input type="checkbox"/> NO
Is the organisation a multinational company operating in different legal contexts?	<input type="checkbox"/> YES <input type="checkbox"/> NO
Has the organization 250 or more employees?	<input type="checkbox"/> YES <input type="checkbox"/> NO
Is it an organisation with fewer than 250 employees, with a turnover exceeding 50 million euros and a balance sheet higher (net asset value) to 43 million euros?	<input type="checkbox"/> YES <input type="checkbox"/> NO
Is the organization a public administration?	<input type="checkbox"/> YES <input type="checkbox"/> NO
the organisation a publicly owned or publicly owned company?	<input type="checkbox"/> YES <input type="checkbox"/> NO
Is the organisation from the third sector and social cooperatives (e.g. voluntary organisations, cooperation bodies)?	<input type="checkbox"/> YES <input type="checkbox"/> NO
The organisation has an internal compliance and/or internal audit function?	<input type="checkbox"/> YES <input type="checkbox"/> NO

Consent to the processing of data pursuant to art. 13 of Reg. EU 2016/679, in relation to the processing of your personal data acquired by us. We provide you with the following information:

- personal data are collected for the purposes related to the participation of the event and/or to the economic activity of the company and in particular for the administrative, accounting, tax obligations where applicable;
- the data will be processed lawfully and in such a way as to ensure maximum confidentiality and security;
- they will be recorded and stored in computer and/or paper files, to allow identification of the data subject for a period not exceeding that necessary for the purposes for which they were collected or subsequently processed (e.g. stored for tax purposes);
- the data will be updated, if necessary, always with explicit permission;
- the data collected will be relevant and complete with respect to the purposes for which they are collected or subsequently processed;
- the data will be processed through these purposes: collection, registration, organization, storage, consultation, processing, modification, selection, extraction, comparison, use, interconnection, blocking, communication, cancellation and destruction of data;
- the data may be communicated and/or widespread, if necessary, in the cases provided by law;
- to external entities carrying out specific tasks on behalf of the holding (e.g. accounting, balance sheets, tax obligations, etc...);
- anonymously for statistical purposes.

For more information, please refer to the Privacy Policy on the website [www.certificationsrl.it](http://www.certificationsrl.it). Data Controller Certification S.r.l. - via Filippo Argelati, 30 / A - 20143 - Milan. It will be possible to contact the data controller to assert the rights as provided for by art. 15 to art. 21 of EU Reg. 2016/679. PRIOR EXPLICIT CONSENT: pursuant to art. 7 Reg. (EU) 2016/679, your data \*\* may be processed for the following marketing purposes: send them via e-mail, through the use of platforms (GDPR compliant email marketing), newsletters, commercial communications and / or material advertising on products or services offered by the owner (eg refresher courses, workshops and events valid for maintaining the certification) and surveys of the degree of satisfaction with the quality of services; send them via e-mail, commercial and / or promotional communications from third parties (Certification S.r.l.). In any case, you will always have the possibility to opt out of receiving our marketing communications, by clicking on "Unsubscribe" at the bottom of all our communications, expressing the desire not to receive further communications in the future.

I express my consent  I do not express my consent

Place and Date:

SIGNATURE:

### Application Review (To be filled in exclusively by CERTIFICATION)

The information is used to process the economic assessment: it is necessary that the data correspond to the business reality. Different information, if any, leads to a change in the economic assessment. Please send this questionnaire completed in ALL its parts **WITH A RECENT COPY OF YOUR CAMERALE VISURA** to [info@certificationsrl.it](mailto:info@certificationsrl.it).