

INFORMATION QUESTIONNAIREQM 85 00 03, Rev 03
Date 2021-07-22

| 1. ANAGRAPHIC OF THE APPLICANT ORGANIZATION | | | |
|--|-------------------|---|---|
| Company name: | | | |
| Head Office | | Address: | |
| | | CAP: | Città - City: |
| | | | Prov.: |
| Vat Number: | Tax Code: | Turnover last year: | Unique Billing Code: |
| TELEPHONE: | WEB SITE: | E-MAIL: | |
| | | PEC: | |
| Organization reference person | NAME AND SURNAME: | POSITION: | E-MAIL: |
| | | | TELEPHONE: |
| 2. DATA FOR CERTIFICATION | | | |
| <input type="checkbox"/> New <input type="checkbox"/> Renewal <input type="checkbox"/> Extension <input type="checkbox"/> Transferred from another Accredited Entity (to specify which and motivation) _____ | | | |
| <input type="checkbox"/> ISO/IEC 27001 <input type="checkbox"/> ISO/IEC 27017 <input type="checkbox"/> ISO/IEC 27018 <input type="checkbox"/> Other (to specify) _____ | | | |
| Does the organisation want a prior audit? <input type="checkbox"/> YES <input type="checkbox"/> NO | | | |
| Period in which you want to perform the certification audit: | | Company Consulting: | |
| 3. THE ACTIVITY TO BE CERTIFIED: | | | |
| Total number of employees in the Chamber of Commerce Registration | | Production and service personnel: | - Staff working away from headquarters: |
| Full-time: | | Part-time: | Seasonal: |
| Does the company do more shifts? <input type="checkbox"/> YES <input type="checkbox"/> NO | | Indicate the start and end times of each shift: | |
| Processes outsourced to Subcontractors (if applicable) : | | External workers / sub-contractors used on a daily average: | |
| 4. DOES THE ORGANIZATION HAVE MULTIPLE SITES TO CERTIFY? | | | |
| <input type="checkbox"/> SÍ - YES <input type="checkbox"/> NO | | Will sites be excluded? <input type="checkbox"/> YES <input type="checkbox"/> NO | |
| The addresses of the sites to certify: | | Number of employees: | Main Activities/Processes: |
| | | | |
| | | | |

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| 5. INFORMATION FOR THE CERTIFICATION OF DATA SECURITY MANAGEMENT SYSTEMS (ISO 27001) | |
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| 5.1 Type of activity and regulatory requirements | |
| a. The organisation works in a non-critical (low-risk) and non-regulated business sector. Only a few sensitive or confidential information. Critical areas are those involving public services. Their damage may be a risk to the health, safety, economy, image, and working capacity of the country or have a strongly negative impact: e.g. Nuclear Industry; Chemical and Pharmaceutical Industry; Energy, Gas and Water Sector; Telecommunications; Transport and Logistics; Aerospace; Rail; Banking, Financial and Insurance; Public Administration; Health). | <input type="checkbox"/> YES <input type="checkbox"/> NO |
| b. The organisation works in a non-critical business sector (low risk business sector) with high (specific) regulatory requirements. Sensitive or confidential information. | <input type="checkbox"/> YES <input type="checkbox"/> NO |
| c. The organisation works in a critical business (high-risk business sector). Large amounts of sensitive or confidential information. | <input type="checkbox"/> YES <input type="checkbox"/> NO |
| 5.2 Complexity of ISMS - processes and activities | |
| a. Some critical assets (in terms of confidentiality, integrity, availability). Only one key process and few interfaces and operating units involved. | <input type="checkbox"/> YES <input type="checkbox"/> NO |
| b. Some critical assets (in terms of confidentiality, integrity, availability). Some complex key processes (2 or 3) with few interfaces and operating units involved. | <input type="checkbox"/> YES <input type="checkbox"/> NO |
| c. Many critical assets (in terms of confidentiality, integrity, availability). More than 3 complex key processes with many interfaces and operating units involved. | <input type="checkbox"/> YES <input type="checkbox"/> NO |
| 5.3 Level of implementation of the Management System | |
| a. ISMS fully implemented since many years. Internal audit activities, management reviews and continuous improvement consolidated. | <input type="checkbox"/> YES <input type="checkbox"/> NO |
| b. ISMS fully implemented by a few months. Internal audit activities, management reviews and improvement carried out only once. | <input type="checkbox"/> YES <input type="checkbox"/> NO |
| c. No other Management System. ISMS is new and not fully consolidated (e.g. lack of management system-specific control mechanisms, immature continuous improvement processes, execution of ad hoc processes. Limited number of registrations). | <input type="checkbox"/> YES <input type="checkbox"/> NO |
| 6. FACTORS RELATING TO THE IT ENVIRONMENT | |
| 6.1 Complexity of IT infrastructure | |
| a. IT platforms, servers, operating systems, database, networks, etc. of a standardized and/or small number. | <input type="checkbox"/> YES <input type="checkbox"/> NO |
| b. IT platforms, servers, operating systems, database, networks of different types and/or relevant numbers. | <input type="checkbox"/> YES <input type="checkbox"/> NO |
| c. Different IT platforms, servers, operating systems, database, networks of different types and/or in large numbers. | <input type="checkbox"/> YES <input type="checkbox"/> NO |
| 6.2 Outsourcing dependency and vendors, including cloud services | |
| a. No use or limited use of outsourcing or critical suppliers. | <input type="checkbox"/> YES <input type="checkbox"/> NO |
| b. Occasional use of the outsourcing or critical suppliers relatively to some not important operating activities. | <input type="checkbox"/> YES <input type="checkbox"/> NO |
| c. Frequent use of the outsourcing or of critical suppliers, elevated impact on important operating activities. | <input type="checkbox"/> YES <input type="checkbox"/> NO |
| 6.3 Development of computer systems | |
| a. No or limited in-house developments of systems/ applications. Use of standardized software platforms. | <input type="checkbox"/> YES <input type="checkbox"/> NO |
| b. Some developments in-house or outsourcing systems / applications for important business-related reasons. Use of standardized software platforms with complex configuration/ parameterizations. | <input type="checkbox"/> YES <input type="checkbox"/> NO |
| c. High number of in-house or outsourcing developments of systems / applications for important business-related reasons. | <input type="checkbox"/> YES <input type="checkbox"/> NO |
| 7. OTHER FACTORS TO BE TAKEN INTO ACCOUNT | |
| a. Complex logistics involving more than one site (e.g.: different data centers; disaster recovery sites; operating sites; temporary sites; etc.). | <input type="checkbox"/> YES <input type="checkbox"/> NO |
| b. Staff speaks different languages and documents are provided in more than one language (need for interpreter). | <input type="checkbox"/> YES <input type="checkbox"/> NO |
| c. Activities requiring audit at temporary sites to verify activities at permanent sites (included in the management system). | <input type="checkbox"/> YES <input type="checkbox"/> NO |
| d. High number of standards and regulations applicable to ISMS. | <input type="checkbox"/> YES <input type="checkbox"/> NO |
| e. Processes with low risk or involving a single general activity (e.g. a simple service). | <input type="checkbox"/> YES <input type="checkbox"/> NO |
| f. High proportion of persons within the scope of the management system performing the same task. | <input type="checkbox"/> YES <input type="checkbox"/> NO |
| g. High percentage of people within the scope of the management system who have proven competence in the field of information security (e.g. have professional certifications). | <input type="checkbox"/> YES <input type="checkbox"/> NO |
| h. Maturity of the management system. | <input type="checkbox"/> YES <input type="checkbox"/> NO |
| <p>Consent to the processing of data pursuant to art. 13 of Reg. EU 2016/679, in relation to the processing of your personal data acquired by us. We provide you with the following information:</p> <ul style="list-style-type: none"> - personal data are collected for the purposes related to the participation of the event and/or to the economic activity of the company and in particular for the administrative, accounting, tax obligations where applicable; - the data will be processed lawfully and in such a way as to ensure maximum confidentiality and security; - they will be recorded and stored in computer and/or paper files, to allow identification of the data subject for a period not exceeding that necessary for the purposes for which they were collected or subsequently processed (e.g. stored for tax purposes); - the data will be updated, if necessary, always with explicit permission; - the data collected will be relevant and complete with respect to the purposes for which they are collected or subsequently processed; - the data will be processed through these purposes: collection, registration, organization, storage, consultation, processing, modification, selection, extraction, comparison, use, interconnection, blocking, communication, cancellation and destruction of data; - the data may be communicated and/or widespread, if necessary, in the cases provided by law; - to external entities carrying out specific tasks on behalf of the holding (e.g. accounting, balance sheets, tax obligations, etc.); - anonymously for statistical purposes. <p>For more information, please refer to the Privacy Policy on the website www.certificationsrl.it. Data Controller Certification S.r.l. - via Filippo Argelati, 30 / A - 20143 - Milan. It will be possible to contact the data controller to assert the rights as provided for by art. 15 to art. 21 of EU Reg. 2016/679. PRIOR EXPLICIT CONSENT: pursuant to art. 7 Reg. (EU) 2016/679, your data " may be processed for the following marketing purposes: send them via e-mail, through the use of platforms (GDPR compliant email marketing), newsletters, commercial communications and / or material advertising on products or services offered by the owner (eg refresher courses, workshops and events valid for maintaining the certification) and surveys of the degree of satisfaction with the quality of services; send them via e-mail, commercial and / or promotional communications from third parties (Certification S.r.l.). In any case, you will always have the possibility to opt out of receiving our marketing communications, by clicking on "Unsubscribe" at the bottom of all our communications, expressing the desire not to receive further communications in the future.</p> <p><input type="checkbox"/> I express my consent <input type="checkbox"/> I do not express my consent</p> | |
| - Place and Date: | SIGNATURE: |

Application Review (To be filled in exclusively by CERTIFICATION)

The information is used to process the economic assessment: it is necessary that the data correspond to the business reality. Different information, if any, leads to a change in the economic assessment. Please send this questionnaire completed in ALL its parts WITH A RECENT COPY OF YOUR CAMERALE VISURA to info@certificationsrl.it.